

तार : 'फूडकोर्प' Gram : 'FOODCORP' फैक्स नं. : एचएफसीआई एनडी ००६९९९२३४९३२४९ ००६९९९२३४९३९६२	भारतीय खाद्य निगम		FOOD CORPORATION OF INDIA	मुख्यालय नई दिल्ली Headquarters New Delhi
Fax No. : HFCI ND 00911123413241 00911123413162	१६-२०, बाराखम्बा लेन, नई दिल्ली-११०००१, दूरभाष : ४३५२७६६७, ४३५२७६६८ 16-20, BARAKHAMBA LANE, NEW DELHI-110001, PHONE : 43527697, 43527698			

No.CPF VI/Invest/Emp.arrangers/2017-18/

Dated: 30.06.2022

To,
The Director,
SKC Investment Advisor Pvt Ltd.,
203/202, Aziz Avenue,
V.P. Road, Vile Parle (W),
Mumbai-400056.

SUB: APPOINTMENT AS EMPANELLED ARRANGER WITH FCI CPF TRUST

Sir,

On behalf of the FCI CPF Trust, it is a pleasure to inform you that based on your application for empanelment with FCI CPF Trust, the Competent Authority has approved your proposal to empanel your Company/Bank for the purpose of inviting quotations for investment of surplus fund. The empanelment shall be on the following terms and conditions:

1. You will comply with the provisions of all relevant Laws, Rules and Regulations, as may be in force from time to time, including Securities Contract Regulation act, Indian Securities Act, Bombay Stamp Act, Indian Stamp Act, Reserve Bank of India's directions. Stock Exchange Rules and Regulations and bye-laws, S.E.B.I. directions and all concerned statutory requirements. Any instance of violation of such rules and regulations shall be immediately brought to our notice and we shall have absolute discretion to decide on conducting further business with you and to realise consequent damages if any, arising out of such violation.
2. The empanelment in itself does not constitute a commitment on our part to do business or accept any Investment proposals or make investment through you. This empanelment does not entitle you to demand any business from the FCI, CPF Trust.

3. The empanelment is subject to periodical review at our discretion. We shall have the absolute right to de-empanel you at any time at our discretion, without assigning any reason for the same.
4. The investment proposals should be competitive and we reserve the right to accept or reject the proposal without assigning any reason at our sole discretion.
5. You will not display your empanelment with us in any of your letter heads, visiting cards and such other documents/materials.
6. The transaction executed/concluded with FCI, CPF Trust shall be confirmed to us over telephone or FAX, as the case may be, and shall subsequently be supported by a deal confirmation in our name mentioning all the relevant details delivered in person or through Courier/Post.
7. You will always protect the interest of FCI CPF Trust regarding all the benefits like Interest, dividend, rights and warrant entitlement etc. relating to the investment made by us through you.
8. You are expected to avoid dealings not commensurate with your financial abilities.
9. You are expected to maintain highest standard of integrity, promptness and fairness while conducting business with us. Further you will also act with utmost care and diligence and maintain absolute secrecy in all the dealings and not disclose details/sensitive information relating to any deal to any other party and shall also not use such information for any personal benefits. You will treat all transactions with us as confidential except in the normal course of reporting to the regulatory authorities.

10. You are requested to submit proof of renewal/registration of your membership with the concerned regulatory authorities like NSE/BSE, RBI, SEBI etc. every year.
11. You shall inform us about any change in the constitution of the organization or its name along with copy of the relevant registration with the concerned authorities, if applicable, recognizing the same.
12. While submitting the offer of investment you will provide full details of the securities/investment proposals such as nature of security, mode of holding of securities, rate of coupon, periodicity of interest, call/put option, denomination of the securities, quantum of the securities, interest payment date, mode of holding/delivery, the expected date of delivery, security/guarantee, rating at the time of issue of security, YTM at the time of issue of security, YTM at quoted rate, YTM (Call/Put), ISIN No., and the present rating and risk factors involved etc. The requisite information should be sent in prescribed format of FCI CPF Trust..
13. The settlement of confirmed deal shall be done as per the guidelines issued by the concerned authorities like RBI, SEBI, FIMMDA etc. from time to time.
14. You shall inform CPF Trust, if you are suspended or debarred by any Public Sector Undertaking/Bank/Financial Institutions etc. from acting as broker/intermediary. You shall inform the trust if your membership is suspended/terminated or any penal action is initiated by the National Stock Exchange/relevant Stock Exchange or any other statutory authority. In such cases, CPF Trust reserves right to remove your name from its empanelled list of Intermediaries without giving any reason whatsoever.
15. Your company / bank are expected to provide a competitive rate to the FCI CPF Trust. It may be noted that your name shall be removed

from list of empanelment for one year, if your company / bank fails to get business of Rupees Ten crores from the Trust every financial year.

16. It may be noted that your empanelment with CPF Trust is being made on the basis of Information supplied by you and should any Serious/adverse fact come to light, the trust under its own discretion is fully within its right to strike off your empanelment and stop all dealings through you.

17. The Investment proposal submitted to the FCI CPF Trust shall remain open for 48 hours. Failure to honor an accepted bid by the Company / bank may lead to debarment / removal of the name of the party from the list of empanelled arrangers. Action for debarment / removal from the panel shall be governed by the following conditions.
 - (i) If the Arranger withdraws offer, its empanelment may be suspended for two months. (for 1st and 2nd time)
 - (ii) If the Arranger withdraws the offer for 3rd time in a financial year, its name shall be removed from the panel of Arrangers.

18. Your company/bank is required to deposit a sum of Rs.1,00,000-00 (Rupees One Lac only) as Security Deposit to ensure that the arrangers are making all the diligence w.r.t. notification dated 29th May, 2015 and in case it is observed that any party has quoted a paper which is not covered in the Govt. Notification dated 29.05.2015 or any misstatement, misrepresentation etc., the party shall be liable to pay FCI an amount equal to the penalty leviable by EPFO on FCI or Rs.25,000/- whichever is higher. This amount shall be adjusted from the available security deposit of the arranger and the party will have to deposit further amount so as their security deposit reaches to Rs.1.00 lac in order to participate in the next monthly quotation.

Bank details are as under:

Name : Food Corporation of India
Bank : State Bank of India
Branch : K.G.Marg, New Delhi
A/c No. : 10220632672
IFSC Code : SBIN0050191

You are therefore requested to sign an agreement on Non-Judicial Stamp Paper of Rs.100/- in the enclosed proforma as a token of acceptance of the terms and conditions of the Trust and send the same to this office upto **20.07.2022**.

Yours faithfully,

(P. Raja Babu)
Chief General Manager (CPF)